



INDIVIDUAL FORM

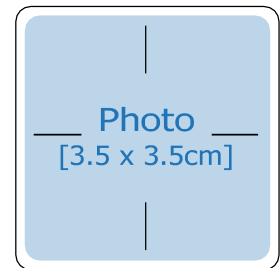
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Select a Product

AHYF AGF

New Client Account Number
 (For Existing Investors)
 Pay Bill 4032423 Account. ID NO



Date:

> Investor Details

Title: Surname: Other Name(s):
 Date of Birth: Gender: Male Female ID/Passport No.
 Nationality: Country Of Residence: Pin No.
 Mobile No. Email Address:
 Marital Status: Single Married Divorced Others(Specify)
 Postal Address: Code: Town:
 Employment status: Employed Self Employed Unemployed Retired
 Employer's Name: Occupation:
 Employer's Postal Address: Tel No.
 Employer's Physical Address:
 Type of Business: Industry:

> Source of Funds

The funds for these investments are from (please tick)*

Salary Rental/Property Sale Sale of Shares Dividends Loan
 Maturing Investments Retirement Benefits Gifts/Bonuses Inheritance
 Others (Please Specify)

**Please note that ARTECH Investments KENYA reserves the right to seek further information or documentation on the source of funds to be invested.*

> Customer's Bank Details

Account Name:
 Account Number:
 Bank Name:
 Bank Branch:

Signature of Applicant/authorized signatories
in accordance to mandate provided _____

Any instructions of change of bank details MUST be provided in writing with certified proof

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> Investment Details

Minimum investment amount is Kshs. 100,000 for each of the ARTECH HIGH YIELD FUND.

NAME OF FUND	AMOUNT	INITIAL FEES	ANNUAL MANAGEMENT FEES
ARTECH GAURANTEED FUND		NIL	
ARTECH HIGH YIELD FUND		NIL	2.5%

Total Amount in Words:

Payment Method (Please tick)

Cheque Deposit Direct Cash Direct Transfer M-Pesa

Re-invest? Yes No

> Bank Account Details

ARTECH GROUP LIMITED accepts personal/corporate and Bankers Cheques payable to The Bank Account details are listed below.

Account Name	ARTECH EAST AFRICA LIMITED KENYA LIMITED
Account No.	0100005651942
Bank	STANBIC BANK
Branch	INDUSTRIAL AREA
Swift Address	SBICKENX
Bank Code	31
Bank Pay Bill Number	600100

> Statement and other Correspondence

All statements, reports and notices will be sent by default through e-mail. Please ensure your current address is correctly entered. If no e-mail is provided, you will incur postal charges for all correspondents.

Preferred mode of receiving monthly correspondence: Email: **free** Post: **Kshs.50**

> Beneficiaries

	Beneficiary 1	Beneficiary 2	Beneficiary 3
Name			
Date of Birth			
Postal Address			
Identification No.			
Tel/ Mobile No.			
Percentage Share (%)			
Relationship			
Email Address			

> **General Details**

Have you previously invested in HIGH YIELD FUNDS? Yes No

Are you an employee of ARTECH GROUP LIMITED? Yes No How did you
hear about ARTECH HIGH YIELD FUND? Radio TV Newspaper
 Word of mouth

Internet

Other (Please State) _____

> **Self Certification (Tax Status)**

Are you registered for tax in Kenya? Yes No

Are you registered for tax in any other country? Yes No

If "Yes", please provide your Tax Identification Number for each country (or reason why none has been issued).

Country of tax residence	Tax Identification No.	OR Reason	Tax No. Not Applicable

> **Residential Address Enquiry**

Land Registration (L.R) Number:

Estate:

House Number: Road:

Town/Area:

I write to confirm that the above is a description of my current residential address. This description is provided as I do not have any utility bill that may be used to verify my current residential address.



1. What is your age bracket?

- a. 18 – 30 years
- b. 31 -45 years
- c. Over 45 years

2. How long do you want to invest?

- a. Above 3 years
- b. 1-3 years
- c. 0 – 1 years

3. Have you ever invested in any of the investments below? (Please tick where applicable)

Fixed Deposit Land/House Shares T/Bills or T/Bonds/HYF Unit Trusts

- a. More than 3 investments categories
- b. More than 1 – 3 of the above
- c. None of the above
- d. Others (pleasestate) _____

4. What type of savings or investments do you currently hold? (Please tick where applicable)

Fixed Deposit Land/House Shares T/Bills or T/Bonds/HYF Unit Trusts

- a. More than 3 investments categories
- b. More than 1 – 3 of the above
- c. None of the above
- d. Others (pleasestate) _____

5. What do you expect of your income in the next one to three years?

- a. Increase
- b. Stay about the same
- c. Decline / stop

6. Approximately what percentage of your total assets (excluding your house) will this investment represent?

- a. Less than 10%
- b. 10% to 25%
- c. 26% to 50%
- d. 51% and above

7. Would you say you have?

- a. A sound and good understanding of investments and financial markets
- b. A basic understanding of investments and financial markets
- c. Very little knowledge of investments but have an interest
- d. No interest or knowledge of any investments

8. If your investment fell by 20% over the course of three months, how would you react?

- a. Buy more of the same as it must be a cheap time to buy
- b. Concerned, but remain invested to see it improve in the near future before taking any action
- c. Disappointed and cut my losses and transfer immediately to a more stable investment

9. What attracts you to an investment?

- a. Its good return, regardless of the risk
- b. A combination of security and income
- c. Purely security

10. Do you have other source savings you can access immediately?

- a. Yes I have an adequate fund to last me more than 1 year
- b. Yes, but less than 6 months worth
- c. No

11. When do you expect to need most of your money from this investment?

- a. Above 3 years
- b. 1 to 2 years
- c. Less than one year





Key

a = 3 b = 2 C = 1 D = 0

Kindly ADD all your scores and divide the total by 11

(Note your score should fall within the risk rating column)

Risk Rating	Fund	Description	Fund Objectives
0-1.6	ARTECH HIGH YIELD FUND (+)	Low	<ul style="list-style-type: none"> • Above inflation level of current income • Low risk • Ideal for preserving capital and liquidity while earning a competitive return relative to comparative products
1.68 - 2.35	ARTECH GAURANTEED FUND ()	SECURITY /LOANS FACILITATION	<ul style="list-style-type: none"> • Stable income stream • Low to medium risks • Ideal for growing assets while earning a periodic and stable income/empowerment in acquiring an asset
PACKAGES	STARTER	2,000/-	NET COMMISSIONS ANNUALLY 15%
	BRONZE	4,000/-	18%
ARTECH HIGH YIELD FUND	SILVER	10,000/-	20%
	GOLD	20,000/-	23%
	PLATINUM	60,000/-	23%
	DIAMOND	140,000/-	23%
	PREMIUM	260,000/-	23%
	CROWN	500,000/- +++++	23%

I understand my risk level and I have chosen to invest the following funds.

CHYF

CGF



I apply for investments into ARTECH HIGH YIELD FUND on the terms, conditions and regulatory information set out in the information memorandum. The information is available at ARTECH ARTECH GROUP Offices.

I have read and understood the information memorandum, the charges made by ARTECH ARTECH GROUP and the terms and conditions of this application.

I confirm that the units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that I/we will not sell, transfer or otherwise dispose of any such units directly or indirectly to or for the account of such person.

I hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment instructions.

Your tax residence is generally the country in which you live for more than half of any year and in which you pay tax. Special circumstances (such as studying or working outside of your country or extended travel) may cause you to be resident elsewhere or resident in more than one country at the same time (dual residency).

I confirm that the money used for the investment in the ARTECH GROUP are not arising out of the proceeds of any money laundering or other illicit activities.

I agree that ARTECH GROUP is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such liability or loss results from negligence, or willful default on ARTECH GROUP

I warrant that all the information given on this proposal and in all documents which have been or will be signed by me in the connection with the proposed application whether in my hand or not, is true and complete.

I THE UNDERSIGNED CONFIRM THAT I HAVE READ AND UNDERSTOOD THIS DECLARATION AND ITS IMPLICATIONS.

Signature of Applicant: _____

Name: _____

Date: _____

> For Official Use Only

1. **KYC Documents**

- I.) Certified Copy of National ID or Passport
- II.) Certified Passport size photo
- III.) Copy of PIN Certificate
- IV.) Copy of Tax Exemption Certificate [If applicable]

2. **Proof of banking details**

- I.) Certified Copy of ATM Card or Certified Copy of Bank Statement or original Cancelled Cheque.

3. **Proof of investment** i.e. M- pesa transaction number. Cheque or RTGS. Cheques should be written in the name of ARTECH GROUP LIMITED.

I hereby confirm that all the above documents (where applicable) have been attached.

Portfolio Relationship Officer: _____

Code: _____ Signature: _____

Date:

> **Signed By**

Administrator(Name): _____

Signature: _____ Date: _____